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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,528	06/25/2001	Michael A. Ekhaus	7744.0061

CONFIRMATION NO. 5737

FORMALITIES LETTER



OC00000006432479

Finnegan, Henderson, Farabow,
Garrett & Dunner, L.L.P.
1300 I Street, N.W.
Washington, DC 20005-3315

Date Mailed: 08/16/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$590.
 - \$270 for 15 total claims over 20.
 - \$320 for 4 independent claims over 3.
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1430.

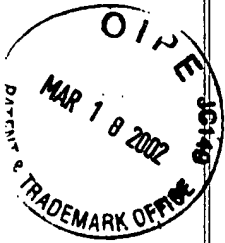
A copy of this notice MUST be returned with the reply.

[Signature]
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

03/21/2002 CV0111 00000022 09887528
02 FC:101 740.00 00
03 FC:102 336.00 00
04 FC:103 270.00 00
05 FC:105 130.00 00



M/P

PATENT
Attorney Docket No. 7744.0061
Customer No. 22,852

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
)
Michael A. EKHAUS et al.)
)
Serial No.: 09/887,528) Group Art Unit: 2163
)
Filed: June 25, 2001) Examiner: Unknown
)
For: METHOD AND SYSTEM FOR)
HIGH PERFORMANCE MODEL-)
BASED PERSONALIZATION)

Assistant Commissioner for Patents
Washington, DC 20231

Attention: **BOX MISSING PARTS**

Sir:

RESPONSE TO NOTICE TO FILE
MISSING PARTS OF APPLICATION

In response to the communication of August 16, 2001, Applicants submit herewith a Petition for Consideration of Declaration under 37 C.F.R. § 1.47(a) on behalf of a nonsigning inventor including Exhibits A-S, the required filing fee including extra claims and declaration surcharge of \$1,476 (\$740 - filing fee, \$336 - four extra independent claims, \$270 - 15 total claims over 20, and \$130.00 - declaration surcharge), the required fee of \$130 for a Petition to the Commissioner (according to 37 C.F.R. § 1.17(h)), and a copy of the Notice of Missing Parts.

Also enclosed are three executed Assignments with corresponding Recordation Form Cover Sheets, Assignment fees of \$120.00 (three Assignments with Recordation form Cover Sheets at \$40 each), a Petition for Extension of Time for a response within the fifth month, the required fee of \$1,960 under 37 C.F.R. § 1.17(a)(5) for a response within the fifth month, and a transmittal letter accompanying Power of Attorney documents.

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Application No. 09/887,528

Please associate the enclosed Petition under 37 C.F.R. § 1.47(a) with the application, the enclosed Power of Attorney documents with this application, grant any extensions of time required to enter this response, and charge any additional required fees to our deposit account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,
GARRETT & DUNNER, L.L.P.

Dated: March 18, 2002

By: 

James J. Boyle
Reg. No. 46,570

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